FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

STATEMENT BY APPLICANT Page 1 of 1

ATTY. DOCKET NO. AL-7

SERIAL NO. 09/828,995

APPLICANT Catherine A. McCall and Liang Tang

FILING DATE April 9, 2001

GROUP 1646 1647

U.S. PATENT DOCUMENTS									
EXAM. INITIAL		DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLS	SUB-CLS	FILE DATE		
	A1	5,593,861	Jan. 14, 1997	Maeda et al.		RECE	IVE		
0	A2	5,710,023	Jan. 20, 1998	Collins et al.		AUG 2	2004		
	A3	5,852,183	Dec. 22, 1998	Maeda et al.	TEC	H CENTER	2001		
	A4	5,858,347	Jan. 12, 1999	Bauer et al.			1600/290		
V	A 5	5,919,456	Jul. 6, 1999	Puri et al.	<u> </u>				

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATIONS										
EXAM. INITIAL		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLS	SUB-CLS	TRANS ?			
H	B1	WO 97/20926	12 Jun. 1997	PCT (France)		\rightarrow				
	B2	WO 97/31946	4 Sep. 1997	PCT		~				
	В3	WO 98/08957	5 Mar. 1998	PCT						
V	В4	4-40894	12 Feb. 1992	JP	-					

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
\mathcal{M}	C1	Ashkenazi et al., Current Opinion in Immunology, 1997, Vol. 9, pp. 195-200					
	C2	Capon et al., <i>Nature</i> , 1989, Vol. 337, pp. 525-531					
·	С3	Day et al., Research in Veterinary Science, 1996, Vol. 61, pp. 136-142					
	C4	Day et al., Research in Veterinary Science, 1995, Vol. 58, pp. 82-89					
	C5 Hayden et al., Current Opinion in Immunology, 1997, Vol. 9, pp. 201-212						
	C6	Johnson et al., The Journal of Immunology, 1967, Vol. 98, No. 5, pp. 935-940					
	- C7	Kazuhiko et al., Accession No. E93345					
A	C8	Mazza et al., Research in Veterinary Science, 1994, Vol. 57, pp. 133-139					
	С9	Mazza et al., Journal of Immunological Methods, 1993, Vol. 161, pp. 193-203					
7/	C10	Schur, Peter H. MD, Annals of Allergy, 1987, Vol. 58, pp. 89-101					
EXAMINER		DATE CONSIDERED 10/17/07					
EXAMINER: I		ion considered. Draw line through citation from the conformance and not considered. Include copy of this form with next cant.					

Paper #19

FORM'PTO-1449	U.S. DEPARTMENT OF COMMERCE
4 · · · · · · · · · · · · · · · · · · ·	PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. AL-7

SERIAL NO. 09/828,995

INFORMATION DISCLOSURE Page 1 of 1

FILING DATE April 9, 2001

APPLICANT Catherine A. McCall and Liang Tang

GROUP 1846 1647

Q.	U.S. PATENT DOCUMENTS									
EXAMT INITIAL	KRY		DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLS	SUB-CLS	FILE DATE		
	1	A 6	5,596,072	Jan. 21, 1997	Culpepper et al.		- <u>"</u>	1		
		A7	5,614,191	Mar. 25, 1997	Puri et al.		- d	30		
		A 8	5,629,415	May 13, 1997	Hollis et al.		-> Z	—		
		A9	5,622,842	Apr. 22, 1997	Hollis et al.	-		0		
		A10	5,652,123	Jul. 29, 1997	Caput et al.		d	2(
		A11	5,696,234	Dec. 9, 1997	Zurawski et al.			2001		
		A12	5,783,181	Jul. 21, 1998	Browne et al.					
	<u> </u>	A13	5,830,453	Nov. 3, 1998	Badr et al.			>		

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATIONS									
EXAM. INITIAL		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLS	SUB-CLS	TRANS		
	B5	WO 98/10638	19 March 1998	PCT	<u> </u>				

-A		OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)
	C11	Avery, et al., Sequence Submission, 1998, Accession No. AF067449
	C12	Avery, et al., Sequence Submission, 1998, Accession No. AF067450
	C13	Avery, et al., Sequence Submission, 1998, Accession No. AF067451
	C14	Avery, et al., Sequence Submission, 1998, Accession No. AF067452
	C15	Avery, et al., Sequence Submission, 1998, Accession No. AF067453
Λ	C16	Avery, et al., Sequence Submission, 1998, Accession No. AF079118
	C17	Avery, et al., Sequence Submission, 1998, Accession No. AF079119
7	C18	Avery, et al., Separence Submission, 1998, Accession No. AF079120
	C19	Avery, et al., Sequence Submission, 1998, Accession No. AF079121
	C20	Avery, et al., Sequence Submission, 1998, Accession No. AF079122
	C21	Avery, et al., Sequence Submission, 1998, Accession No. AF079123
1	C22	Redpath, et A., 1998, Human Immunology, Vol. 59, pp. 720-727
EXAMINER		. Sector) DATE CONSIDERED 10/14/02

EXAMINER: mixal citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Paper # 10





FORM PTO		S. DEPARTMENT C			АТ	ATTY. DOCKET NO. AL-7			SERIAL NO. 09/828,995		
FORMATION DISCLOSURE				АР	APPLICANT Catherine A. McCall and Liang Tang						
Page 1 of 1					FIL	FILING DATE April 9, 2001			GROUP 1640 /647		
Z	76/										
TRADE	MA			U.S. P	ATENT	DO PUMENTS — J	\ <i>(</i> =>				
EXAM. INITIAL		DOCUMENT NUMBER	ISSUE DATE		PATEN	DOPUMENTS EI	1	CLS	SUB-CLS	FILE DATE	
						FEB 2 8	2002				
						TECH CENTER	1600/2900				
		FOREIGN	PATENT	OR PUBL	ISHED	FOREIGN PATEN	T APPLICA	TIONS			
EXAM. INITIAL		DOCUMENT NUMB	ER	PUBL. D	ATE	E COUNTRY CLS		CLS	SUB-CLS	TRANS	
										ļ.,	
,											
		OTHER DOC	JMENTS	(Including	Author, 1	litle, Date, Relevant Pa	ges, Place of	Publication	on)		
L	C23	DeBoer, et al., (XP000647974)		erinary Im	munolo	gy and Immunopati	nology, Vol.	37, No.	3-4, pp. 183-199)	
4	Callard, et al., 1996, <i>Immunology Today</i> , Vol. 17, No. 3, pp. 108-110 (XP002010895)										
4	Tang, et al., 2097, Journal of Allergy and Clinical Immunology, Vol. 107, No. 2, abstract, p. S91 (XP001030697)										
EXAMINER	<u>_</u>	1. Soe	etos		DA	TE CONSIDERED	10/17/	62 <u>—</u>			
EXAMINER: communicat		tion considered. Draw licant.	line throug	h citation i	f not in c	onformance and not co	onsidered. Inc	clude cop	y of this form with	next	